

IN THE COMPETITION APPEAL TRIBUNAL

BETWEEN:

ALLIANZ GLOBAL INVESTORS GMBH AND OTHERS

Claimants

Case No: 1430/5/7/22 (T)

- v -

BARCLAYS BANK PLC AND OTHERS

Defendants

CONSENT ORDER

UPON the Order of the Honourable Mr Justice Jacobs sealed on 11 August 2020 (the "Jacobs Order")

AND UPON the Consent Disclosure Order of the Honourable Mrs Justice Cockerill sealed on 16 November 2021 (the "Cockerill Order")

AND UPON the Fifth and Sixth Defendants having provided US Further Discovery (as defined in the Jacobs Order) of supplemental trade and order data by way of discovery to the other parties in the US Proceedings (as defined in the Jacobs Order) on 5 May 2022 (the "Supplemental Trade and Order Data")

AND UPON the Fifth and Sixth Defendants having provided Supplemental Unmasking Lists (as defined in the Cockerill Order) by way of discovery to the other parties in the US Proceedings on 5 May 2022 (the "Supplemental Unmasking Lists")

AND UPON the parties having agreed that the Fifth and Sixth Defendants can provide disclosure and inspection of the Supplemental Trade and Order Data and of the Supplemental Unmasking Lists by waiving or otherwise releasing the other parties from any obligation that would otherwise be binding upon them not to use those

documents, disclosed to them in the US Proceedings, for the purposes of these

proceedings

AND UPON the Re-Re Amended Consent Confidentiality Ring Order of the

Honourable Mr Justice Butcher dated 17 November 2020 (the "Confidentiality Ring"

Order")

IT IS ORDERED BY CONSENT THAT:

1. Paragraph 1(a) of the Jacobs Order is varied such that the Fifth and Sixth

Defendants are not obliged to disclose the Supplemental Trade and Order Data

by list with simultaneous inspection, but may instead provide disclosure and

inspection of that data by means of waiving or otherwise releasing the other

parties from any obligation that would otherwise be binding upon them not to

use the Supplemental Trade and Order Data, disclosed in the US Proceedings,

for the purposes of these proceedings.

2. Paragraph 1 of the Cockerill Order is varied such that the Fifth and Sixth

Defendants are not obliged to disclose the Supplemental Unmasking Lists by

list with simultaneous inspection, but may instead provide disclosure and

inspection of that document by means of waiving or otherwise releasing the

other parties from any obligation that would otherwise be binding upon them

not to use the Supplemental Unmasking Lists, disclosed in the US

Proceedings, for the purposes of these proceedings.

3. The Supplemental Trade Data and Order Data and the Supplemental

Unmasking Lists shall be treated as Outer Confidentiality Ring Information

for the purposes of and subject to the terms of the Confidentiality Ring Order.

4. Costs in the case.

Sir Marcus Smith

President of the Competition Appeal Tribunal

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Made: 16 May 2022

Drawn: 16 May 2022