



IN THE COMPETITION
APPEAL TRIBUNAL

Case No: 1436/5/7/22 (T)

BETWEEN:

ALLIANZ GLOBAL INVESTORS GMBH AND OTHERS

Claimants

- v -

- (1) DEUTSCHE BANK AG LONDON
- (2) DEUTSCHE BANK AG
- (6) GOLDMAN SACHS INTERNATIONAL
- (7) GOLDMAN SACHS INTERNATIONAL BANK
- (9) GOLDMAN SACHS GROUP UK LIMITED
- (10) GOLDMAN SACHS BANK USA
- (12) MORGAN STANLEY INTERNATIONAL LIMITED
- (14) MORGAN STANLEY & CO. INTERNATIONAL PLC
- (17) BANK OF AMERICA N.A.
- (18) BANK OF AMERICA MERRILL LYNCH INTERNATIONAL
DESIGNATED ACTIVITY COMPANY
- (20) MERRILL LYNCH INTERNATIONAL
- (21) BNP PARIBAS S.A.
- (22) BNP PARIBAS LONDON BRANCH
- (23) BNP PARIBAS SECURITIES SERVICES SCA
- (24) SOCIÉTÉ GÉNÉRALE S.A.
- (25) STANDARD CHARTERED PLC
- (26) STANDARD CHARTERED BANK
- (27) ROYAL BANK OF CANADA

Defendants

ORDER

UPON the Claimants' application by letter dated 13 June 2022 for an order, under Rule 63 of the Competition Appeal Tribunal Rules, for disclosure by Refinitiv Limited (the "**Respondent**") of those documents disclosed by Refinitiv US LLC in certain related

proceedings in the United States of America (namely Case SDNY No. 1:2018-cv-10364 *Allianz Global Investors GmbH v Bank of America Corporation et al* (the “**US Proceedings**”)) (the “**Documents**”)

AND UPON reading the witness statement of Katherine Alice Vernon dated 13 June 2022 in support of the application

AND UPON the Respondent having the Documents in its possession, and having indicated its neutral position in respect of the Claimants’ application

AND WHEREAS the Claimants already have the Documents in their possession or control, albeit that such possession or control is currently subject to the terms of a protective order in place in the US Proceedings

IT IS ORDERED THAT:

1. Terms defined in the recitals to this Order bear the same meaning in the body hereof. The term “disclosure” as used herein shall encompass also any equivalents of that term as used in the United States (such as, in particular, “discovery” or “document production”).
2. Upon the service of this Order, the Respondent shall be deemed to have disclosed all documents which, on or prior to the date of this Order, Refinitiv US LLC disclosed in the US Proceedings.
3. Inspection is dispensed with.
4. The Documents shall be designated as Inner Confidentiality Ring Information in these proceedings, pursuant to the Confidentiality Ring Order of Mrs Justice Cockerill dated 29 June 2021.
5. The Claimants shall pay £2,640 to the Respondent in respect of their costs of this application, such sum to be paid within 21 days of the date of this Order, into such account as the Respondent notifies to the Claimants in writing.
6. That, save as set out at paragraph 5 above, there shall be no further order as to costs.

7. The Claimants shall serve this order upon the Respondent.

The Hon Mr Justice Jacobs
Chairman of the Competition Appeal Tribunal

Made: 16 June 2022
Drawn: 16 June 2022